Communications in Mathematics 33 (2025), no. 1, Paper no. 1 DOI: https://doi.org/10.46298/cm.13107 ©2025 Artem Lopatin, Carlos Arturo Rodriguez Palma and Liming Tang This is an open access article licensed under the CC BY-SA 4.0

# Weak polynomial identities of small degree for the Weyl algebra

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Abstract. In this paper we investigate weak polynomial identities for the Weyl algebra  $A_1$  over an infinite field of arbitrary characteristic. Namely, we describe weak polynomial identities of the minimal degree, which is three, and of degrees 4 and 5. We also describe weak polynomial identities in two variables.

# Contents





# <span id="page-1-0"></span>1 Introduction

Assume that F is an infinite field of arbitrary characteristic  $p = \text{char } \mathbb{F} > 0$ . All vector spaces and algebras are over  $\mathbb F$  and all algebras are associative, unless stated otherwise. We write  $\mathbb{F}\langle x_1, \ldots, x_n \rangle$  for the free unital F-algebra with free generators  $x_1, \ldots, x_n$ . In case the free generators are  $x_1, x_2, \ldots$  the corresponding free algebra is denoted by  $\mathbb{F}(X)$ .

A polynomial identity for a unital F-algebra A is an element  $f(x_1, \ldots, x_m)$  of  $\mathbb{F}\langle X \rangle$ such that  $f(a_1, \ldots, a_m) = 0$  in A for all  $a_1, \ldots, a_m \in A$ . The set  $\text{Id}_{\mathbb{F}}(A) = \text{Id}(A)$  of all polynomial identities for A is a T-ideal, i.e., Id(A) is an ideal of  $\mathbb{F}\langle X\rangle$  such that  $\phi(\text{Id}(\mathcal{A})) \subset \text{Id}(\mathcal{A})$  for every endomorphism  $\phi$  of  $\mathbb{F}\langle X\rangle$ . Given an F-subspace  $\mathcal{V} \subset \mathcal{A}$ , we write  $\mathrm{Id}_{\mathbb{F}}(\mathcal{V}) = \mathrm{Id}(\mathcal{V})$  for the ideal of all polynomial identities for  $\mathcal{V}$ . Note that  $\mathrm{Id}(\mathcal{V})$  is an *L-ideal* (or *weak T-ideal*), i.e.,  $\phi(\text{Id}(\mathcal{V})) \subset \text{Id}(\mathcal{V})$  for every linear endomorphism  $\phi$  of  $\mathbb{F}\langle X \rangle$ , but Id( $V$ ) is not a T-ideal in general. We say that a space V generates the algebra A, if any element of  $\mathcal A$  can be written as a non-commutative polynomial without free term in some elements of V. If a space V generates the algebra A, then the polynomial identities for V are called *weak* polynomial identities for the pair  $(\mathcal{A}, \mathcal{V})$  and we denote Id( $\mathcal{V}$ ) = Id( $\mathcal{A}, \mathcal{V}$ ).

Weak polynomial identities were introduced in 1973 by Razmyslov [\[24,](#page-15-0) [25\]](#page-15-1) (see also book [\[26\]](#page-15-2)), who applied them to study polynomial identities of matrices. Razmyslov [\[24\]](#page-15-0), Drensky [\[4\]](#page-14-0) and Koshlukov [\[17\]](#page-15-3) described weak polynomial identities for the pair  $(M_2, sl_2)$ over a field of an arbitrary characteristic, where  $sl_2$  is the space of all traceless matrices. Weak polynomial identities of small degrees for the pair  $(M_3, sl_3)$  were studies by Drensky, Rashkova [\[7\]](#page-14-1) and by Blachar, Matzri, Rowen, Vishne [\[2\]](#page-14-2).

For  $p = 0$  weak polynomial identities for the pair  $(M_2, H_2)$  were described by Dren-sky [\[3\]](#page-14-3), where  $H_n$  stands for the space of all symmetric  $n \times n$  matrices. Minimal weak polynomial identities for the pair  $(M_n, H_n)$  for an arbitrary  $n > 1$  were described by Ma and Racine  $[23]$  in case the characteristic of  $\mathbb F$  satisfies certain restrictions.

Weak polynomial identities were also considered in [\[6,](#page-14-4) [14,](#page-15-5) [15,](#page-15-6) [16,](#page-15-7) [18\]](#page-15-8), etc. More details on weak polynomial identities can be found in a recent survey by Drensky [\[5\]](#page-14-5).

The Weyl algebra  $A_1$  is generated by  $V = \mathbb{F}\text{-span}\{x, y\}$ . In this paper we consider weak polynomial identities for the pair  $(A_1, V)$ . In Lemma [4.1](#page-4-2) we show that the following elements of  $\mathbb{F}\langle X\rangle$  are weak polynomial identities for  $(A_1, V)$ :

- $\Gamma_m(x_1, \ldots, x_m) = [[x_1, x_2], x_3 \cdots x_m]$  for  $m \geq 3$ ,
- St<sub>3</sub> $(x_1, x_2, x_3) = x_1[x_2, x_3] x_2[x_1, x_3] + x_3[x_1, x_2]$
- $T_4(x_1, \ldots, x_4) = [x_1, x_2][x_3, x_4] [x_1, x_3][x_2, x_4] + [x_2, x_3][x_1, x_4],$

Denote by  $\mathcal I$  the ideal of  $\mathbb F\langle X\rangle$  generated by

$$
\Gamma_3(x_i, x_j, x_k), \text{St}_3(x_i, x_j, x_k), T_4(x_i, x_j, x_k, x_l)
$$

for all  $i, j, k, l > 0$ . In other words,  $\mathcal I$  is the L-ideal generated by  $\Gamma_3$ ,  $St_3$ , and  $T_4$ . Given  $f_1, f_2 \in \mathbb{F}\langle X \rangle$ , we say that  $f_1$  and  $f_2$  are *equivalent* and write  $f_1 \equiv f_2$  in case  $f_1 - f_2 \in \mathcal{I}$ .

In Theorem 6.3 we describe weak polynomial identities for  $(A_1, V)$  of the minimal degree, which is three. In Theorem [6.1](#page-11-1) we show that every weak polynomial identity for  $(A_1, V)$ in two variables lies in  $\mathcal I$ . Moreover, all weak polynomial identities for  $(A_1, V)$  of degrees 4 and 5 belong to  $\mathcal I$  by Propositions [7.1](#page-12-1) and [7.2.](#page-13-0) Therefore, we formulate the following conjecture:

**Conjecture 1.1.** *The ideal of all weak polynomial identities for the pair*  $(A_1, V)$  *is equal to* I*.*

The key definitions are given in Section [2](#page-2-0) and some properties are considered in Section [3.](#page-3-1) The proofs are based on the notion of a completely reduced form of elements of  $\mathbb{F}\langle X\rangle$ , which is introduced in Section [5.](#page-5-0)

### <span id="page-2-1"></span><span id="page-2-0"></span>2 Definitions and known results

#### 2.1 Polynomial identities for the Weyl algebra  $A_1$

The *Weyl algebra*  $A_1$  is the unital associative algebra over  $\mathbb F$  generated by letters x, y subject to the defining relation  $yx = xy+1$  (equivalently,  $[y, x] = 1$ , where  $[y, x] = yx-xy$ ), i.e.,

$$
A_1 = \mathbb{F}\langle x, y \rangle / \mathrm{id} \{ yx - xy - 1 \}.
$$

We say that algebras A, B are called PI-equivalent and write  $\mathcal{A} \sim_{\text{PI}} \mathcal{B}$  if Id( $\mathcal{A}$ ) = Id( $\mathcal{B}$ ). We say that an L-ideal  $I \in \mathbb{F}\langle X\rangle$  is generated by  $f_1, \ldots, f_k \in \mathbb{F}\langle X\rangle$  as an L-ideal, if I is an F-span of  $\{f^{(1)}f_i(g_1,\ldots,g_m)f^{(2)}\}$  for all  $f^{(1)},f^{(2)}\in \mathbb{F}\langle X\rangle$ , all linear combinations  $g_1, \ldots, g_m$  of letters  $\{x_1, x_2, \ldots\}$ , and  $1 \leq i \leq k$ . Obviously, in case  $f_i$  is multilinear (see Section [2.2](#page-3-0) below) we can assume that  $g_1, \ldots, g_m$  are letters.

Assume that  $p = 0$ . It is well-known that the algebra  $A_1$  does not have nontrivial polynomial identities. Nevertheless, some subspaces of  $A_1$  satisfy certain polynomial identities. As an example, Dzhumadil'daev proved that the standard polynomial

$$
St_N(x_1,\ldots,x_N)=\sum_{\sigma\in\mathcal{S}_N}(-1)^{\sigma}x_{\sigma(1)}\cdots x_{\sigma(N)}
$$

is a polynomial identity for  $A_1^{(-,s)} = \mathbb{F}\text{-span}\{ay^s \mid a \in \mathbb{F}[x]\}$  if and only if  $N > 2s$  (Theorem 1 of  $[9]$ ). More results on polynomial identities for some subspaces of  $n<sup>th</sup>$  Weyl algebra were obtained in [\[8,](#page-14-7) [10\]](#page-14-8). Considering  $A_1^{(-,1)}$  with respect to the Lie bracket we obtain a simple Lie algebra  $W_1$ , which is called Witt algebra. The well-known open conjecture claims that all polynomial identities for  $W_1$  follow from the standard Lie identity of degree 5.

The Z-graded identities for  $W_1$  were described by Freitas, Koshlukov and Krasilnikov [\[13\]](#page-14-9). Moreover, Z-graded identities for the related Lie algebra of the derivations of the algebra of Laurent polynomials were described in [\[11,](#page-14-10) [12\]](#page-14-11).

The situation is drastically different in case  $p > 0$ . Namely,  $A_1$  is PI-equivalent to the algebra  $M_p$  of all  $p \times p$  matrices over  $\mathbb F$ . Moreover, the Weyl algebra  $A_1$  over an arbitrary associative (but possible non-commutative) F-algebra B is PI-equivalent to the algebra  $M_p(\mathsf{B})$  of all  $p \times p$  matrices over **B** (see Theorem 4.9 of [\[19\]](#page-15-9) for more general result). Polynomial identities for  $A_1^{(-,s)}$  and other subspaces of  $A_1$  were studied in [\[20,](#page-15-10) [21\]](#page-15-11).

#### <span id="page-3-0"></span>2.2 Notations

An algebra that satisfies a nontrivial polynomial identity is called a PI-algebra. A Tideal I of  $\mathbb{F}\langle X\rangle$  generated by  $f_1,\ldots,f_k\in\mathbb{F}\langle X\rangle$  is the minimal T-ideal of  $\mathbb{F}\langle X\rangle$  that contains  $f_1, \ldots, f_k$ . We denote by  $\langle X \rangle_m$  and  $\langle X \rangle$  the monoids (with unity) freely generated by the letters  $x_1, \ldots, x_m$  and  $x_1, x_2, \ldots$ , respectively. Given  $w \in \langle X \rangle_m$ , we write  $\deg_{x_i}(w)$  for the number of letters  $x_i$  in w and  $mdeg(w) \in \mathbb{N}_0^m$  for the multidegree  $(\deg_{x_1}(w), \ldots, \deg_{x_m}(w))$ of w, where  $\mathbb{N}_0 = \{0, 1, 2, \ldots\}$  and  $\mathbb{N} = \{1, 2, \ldots\}$ . An element  $f \in \mathbb{F}\langle X \rangle$  is called (multi)homogeneous if it is a linear combination of monomials of the same (multi)degree. Given  $f = f(x_1, \ldots, x_m)$  of  $\mathbb{F}\langle X \rangle$ , we write  $f = \sum_{\underline{\delta} \in \mathbb{N}_0^m} f_{\underline{\delta}}$  for multihomogeneous components  $f_{\underline{\delta}}$  of f with mdeg  $f_{\underline{\delta}} = \underline{\delta}$ . If  $f \in \mathbb{F}\langle X \rangle$  is multihomogeneous of multidegree  $1^m = (1, \ldots, 1)$  (*m* times), then f is called *multilinear*. For  $\underline{\delta} = (\delta_1, \ldots, \delta_m)$  we denote  $|\underline{\delta}| = \delta_1 + \cdots + \delta_m$ . Given  $\underline{\delta} \in \mathbb{N}_0^m$ , we write  $\mathbb{F}\langle X \rangle_{\underline{\delta}}$  for all elements of  $\mathbb{F}\langle X \rangle$  of multidegree  $\underline{\delta}$  and we write  $\mathrm{Id}(\mathcal{A}, \mathcal{V})_{\underline{\delta}}$  for all elements of  $\mathrm{Id}(\mathcal{A}, \mathcal{V})$  of multidegree  $\underline{\delta}$ .

# <span id="page-3-2"></span><span id="page-3-1"></span>3 Properties

#### 3.1 Properties of  $A_1$

Given  $a \in \mathbb{F}[x]$ , we write  $\partial(a)$  for the usual derivative of a polynomial a with respect to the variable x. Using the linearity of derivative and induction on the degree of  $a \in \mathbb{F}[x]$ it is easy to see that

$$
[y, a] = \partial(a) \text{ holds in } A_1 \text{ for all } a \in \mathbb{F}[x]. \tag{1}
$$

<span id="page-3-3"></span>The following properties are well-known (for example, see [\[1\]](#page-14-12)):

Proposition 3.1. *(a)*  $\{x^i y^j \mid i, j \ge 0\}$  *and*  $\{y^j x^i \mid i, j \ge 0\}$  *are*  $\mathbb{F}$ -bases for  $A_1$ .

- *(b)* If  $p = 0$ , then the center  $Z(A_1)$  of  $A_1$  is  $F$ ; if  $p > 0$ , then  $Z(A_1) = F[x^p, y^p]$ .
- (c) If  $p > 0$ , then  $A_1$  is a free module over  $Z(A_1)$  and the set  $\{x^i y^j \mid 0 \le i, j < p\}$  is a *basis.*
- *(d)* The algebra  $A_1$  *is simple if and only if*  $p = 0$ *.*

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#### <span id="page-4-0"></span>3.2 Partial linearizations

Assume  $f \in \mathbb{F}\langle X \rangle$  is multihomogeneous of multidegree  $\underline{\delta} \in \mathbb{N}_0^m$ . Given  $1 \leq i \leq m$ and  $\gamma \in \mathbb{N}_0^k$  for some  $k > 0$  with  $|\gamma| = \delta_i > 0$ , the *partial linearization*  $\lim_{x_i}^{\gamma}(\overline{f})$  of  $\overline{f}$  of multidegree  $\gamma$  with respect to  $x_i$  is the multihomogeneous component of

$$
f(x_1, \ldots, x_{i-1}, x_i + \cdots + x_{i+k-1}, x_{i+k}, \ldots, x_{m+k-1})
$$

of multidegree  $(\delta_1, \ldots, \delta_{i-1}, \gamma_1, \ldots, \gamma_k, \delta_{i+1}, \ldots, \delta_m)$ . As an example,

$$
\mathrm{lin}_{x_2}^{(2,1)}(x_1^2x_2^3x_3^2)=x_1^2(x_2^2x_3+x_2x_3x_2+x_3x_2^2)x_4^2.
$$

The result of subsequent applications of partial linearizations to  $f$  is also called a partial linearization of f. The *complete linearization*  $\text{lin}(f)$  of f is the result of subsequent applications of  $\lim_{x_1}^{1^{\delta_1}}$  $\frac{1^{\delta_1}}{x_1}, \ldots, \lim_{x_m} 1^{\delta_m}$  $\frac{1}{x_m}$  to f.

Since  $\mathbb F$  is infinite, it is well-known that the following lemma holds (see also Lemma 2.3 of [\[21\]](#page-15-11)).

<span id="page-4-3"></span>**Lemma 3.2.** *Assume A is a unital*  $\mathbb{F}-algebra$  *and*  $\mathcal{V} \subset \mathcal{A}$  *is an*  $\mathbb{F}-subspace$ .

- *1. If* f *is a polynomial identity for* V*, then all partial linearizations of* f *are also polynomial identities for* V*.*
- 2. Assume that all partial linearizations of a multihomogeneous element f of  $\mathbb{F}\langle X \rangle$  are *equal to zero over some basis of*  $V$ *. Then* f *is a polynomial identity for*  $V$ *.*

Note that part 1 of Lemma [3.2](#page-4-3) does not hold in general for a finite field. As an example, see [\[22\]](#page-15-12) for the case of  $f(x_1) = x_1^n$  and

$$
\mathcal{A} = \mathcal{V} = \frac{\mathbb{F}\langle X \rangle}{\mathrm{id}\{g^n \mid g \in \mathbb{F}\langle X \rangle \text{ without constant term}\}}.
$$

# <span id="page-4-2"></span><span id="page-4-1"></span>4 Identities

**Lemma 4.1.** *The following elements of*  $\mathbb{F}\langle X \rangle$  *are weak polynomial identities for*  $(A_1, V)$ *:* 

- $\Gamma_m(x_1, \ldots, x_m) = [[x_1, x_2], x_3 \cdots x_m]$  *for all*  $m \geq 3$ *,*
- St<sub>3</sub> $(x_1, x_2, x_3) = x_1[x_2, x_3] x_2[x_1, x_3] + x_3[x_1, x_2]$
- $T_4(x_1, \ldots, x_4) = [x_1, x_2][x_3, x_4] [x_1, x_3][x_2, x_4] + [x_2, x_3][x_1, x_4]$

*Proof.* 1. Since  $[x, x] = [y, y] = 0$  and  $[x, y] = -[y, x] = -1$ , we have

$$
[u, v] \in Z(A_1) \text{ for all } u, v \in \mathsf{V}.
$$
 (2)

Thus  $\Gamma_m \in \text{Id}(A_1, V)$ .

Since any g from the set  $\{St_3, T_4\}$  is multilinear, to show that  $g \in Id(A_1, V)$  it is enough to show that  $g(u_1, \ldots, u_m) = 0$  in  $A_1$  for all  $u_1, \ldots, u_m \in \{x, y\}$ . Obviously,  $g(x, \ldots, x) = g(y, \ldots, y) = 0.$ 

2. Since  $St_3(x, x, y) = St_3(x, y, y) = 0$ , we obtain  $St_3 \in Id(A_1, V)$ .

**3.** If  $(u_1, \ldots, u_4)$  is equal to  $(x, x, x, y)$  or  $(x, y, y, y)$ , then  $T_4(u_{\sigma(1)}, \ldots, u_{\sigma(4)}) = 0$  for all  $\sigma \in S_4$ . Similarly to  $T_4(x, x, y, y) = 0 - 1 + 1 = 0$ , we obtain that  $T_4(u_{\sigma(1)}, \ldots, u_{\sigma(4)}) = 0$ for all  $\sigma \in S_4$  and  $(u_1, \ldots, u_4) = (x, x, y, y)$ . Thus  $T_4 \in \text{Id}(\mathsf{A}_1, \mathsf{V})$ . □

<span id="page-5-2"></span>**Lemma 4.2.** *Any weak identity for the pair*  $(A_1, V)$  *of degree*  $\leq 2$  *is zero.* 

*Proof.* By Lemma [3.2](#page-4-3) it is enough to show that  $f = 0$  for every multihomogeneous weak identity  $f \in \text{Id}(A_1, V)$  of degree  $\leq 2$ .

If  $\text{mdeg}(f) = (\delta)$  for  $\delta \in \{1, 2\}$ , then  $f = \alpha x_1^{\delta}$  for  $\alpha \in \mathbb{F}$  and equality  $f(x) = 0$  implies  $\alpha = 0.$ 

Assume that  $\text{mdeg}(f) = (1, 1)$  and  $f = \alpha x_1 x_2 + \beta x_2 x_1$  for  $\alpha, \beta \in \mathbb{F}$ . Then  $f(x, x) = 0$ implies that  $\alpha + \beta = 0$ , i.e.,  $f = \alpha[x_1, x_2]$ . Hence  $0 = f(x, y) = -\alpha$  implies  $\alpha = 0$ .  $\Box$ 

<span id="page-5-1"></span>**Lemma 4.3.** *The L-ideal generated by*  $\Gamma_m$ ,  $St_3$ ,  $T_4$ *, where*  $m \geq 3$ *, coincides with*  $\mathcal{I}$ *.* 

*Proof.* For  $m > 3$  consider

$$
\Gamma_m(x_1, \ldots, x_m) = [x_1, x_2] x_3 x_4 \cdots x_m - x_3 x_4 \cdots x_m [x_1, x_2]
$$
  
\n
$$
\equiv x_3 [x_1, x_2] x_4 \cdots x_m - x_3 x_4 \cdots x_m [x_1, x_2]
$$
  
\n
$$
\vdots
$$
  
\n
$$
\equiv x_3 x_4 \cdots x_m [x_1, x_2] - x_3 x_4 \cdots x_m [x_1, x_2] = 0.
$$

 $\Box$ 

The claim is proven.

# <span id="page-5-0"></span>5 Completely reduced bracket-monomials

Definition 5.1. A product

$$
x_{t_1} \cdots x_{t_l} [x_{r_1}, x_{s_1}] \cdots [x_{r_k}, x_{s_k}]
$$

from  $\mathbb{F}\langle X\rangle$ , where  $\underline{t} \in \mathbb{N}^l$ ,  $\underline{r}, \underline{s} \in \mathbb{N}^k$  for some  $l \geq 0$ ,  $k > 0$  with  $r_1 < s_1, \ldots, r_k < s_k$ , is called a *bracket-monomial*.

**Lemma 5.2.** If two bracket-monomials are equal in  $\mathbb{F}\langle X \rangle$ , then they are the same. In *other words, if*

$$
f = x_{t_1} \cdots x_{t_l} [x_{r_1}, x_{s_1}] \cdots [x_{r_k}, x_{s_k}] \text{ and } f' = x_{t'_1} \cdots x_{t'_{l'}} [x_{r'_1}, x_{s'_1}] \cdots [x_{r'_{k'}}, x_{s'_{k'}}]
$$

are bracket-monomials and  $f = f'$  in  $\mathbb{F}\langle X \rangle$ , then  $\underline{t} = \underline{t}'$ ,  $\underline{r} = \underline{r}'$ ,  $\underline{s} = \underline{s}'$ .

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*Proof.* Note that f can be written as a linear combinations of  $2^k$  pairwise different monomials from  $\langle X \rangle$  with coefficients  $\pm 1$ .

We can assume that  $l \geq l'$ . Thus,  $t_1 = t'_1, \ldots, t_{l'} = t'_{l'}$ . Therefore, without loss of generality, we may assume that  $l' = 0$ . In case  $l > 0$  we obtain that f is a linear combination of pairwise different monomials which start with  $x_{t_1}$ , but  $f'$  is a linear combination of pairwise different monomials which start with  $x_{r'_1}$  and  $x_{s'_1}$ , where  $r'_1 \neq s'_1$ ; a contradiction. Therefore,  $l'=0$ .

We have that  $f$  is a linear combination of pairwise different monomials which start with  $x_{r_1}$  and  $x_{s_1}$ , but f' is a linear combination of pairwise different monomials which start with  $x_{r'_1}$  and  $x_{s'_1}$ . Hence,  $\{r_1, s_1\} = \{r'_1, s'_1\}$  and inequalities  $r_1 < s_1$ ,  $r'_1 < s'_1$  imply that  $r_1 = r_1'$  and  $s_1 = s_1'$ . Therefore, without loss of generality we can assume that  $f = [x_{r_2}, x_{s_2}] \cdots [x_{r_k}, x_{s_k}]$  and  $f' = [x_{r'_2}, x_{s'_2}] \cdots [x_{r'_{k'}}, x_{s'_{k'}}]$ . Repeating the above reasoning several times we conclude the proof.  $\Box$ 

Definition 5.3. (a) A bracket-monomial

<span id="page-6-0"></span>
$$
f = x_{t_1} \cdots x_{t_l} [x_{r_1}, x_{s_1}] \cdots [x_{r_k}, x_{s_k}] \in \mathbb{F}\langle X \rangle,
$$
\n(3)

where  $\underline{t} \in \mathbb{N}^l$ ,  $\underline{r}, \underline{s} \in \mathbb{N}^k$  for some  $l \geq 0$ ,  $k > 0$  with  $r_1 < s_1, \ldots, r_k < s_k$  is *semi-reduced* if

- $t_1 \leq \cdots \leq t_l$ ;
- $s_1 < \cdots < s_k$ .

(b) A semi-reduced bracket-monomial  $f \in \mathbb{F}\langle X \rangle$  defined by [\(3\)](#page-6-0) is *reduced* if

• either  $l = 0$  or  $l > 1$ ,  $t_l \leq s_1$ .

(c) A reduced bracket-monomial  $f \in \mathbb{F}\langle X \rangle$  defined by [\(3\)](#page-6-0) is *completely reduced* if

<span id="page-6-1"></span>• do not exist  $1 \leq i \neq j \leq k$  with  $r_j < r_i < s_i < s_j$ .

Example 5.4. Consider the list of all completely reduced bracket-monomials of multidegree  $1^m$ :

- $m = 2$ :  $[x_1, x_2]$ ;
- $m = 3: x_1[x_2, x_3], x_2[x_1, x_3];$
- $m = 4$ :  $x_1x_2[x_3, x_4]$ ,  $x_1x_3[x_2, x_4]$ ,  $x_2x_3[x_1, x_4]$ ,  $[x_1, x_2][x_3, x_4]$ ,  $[x_1, x_3][x_2, x_4]$ ;
- $m = 5: x_1x_2x_3[x_4, x_5], x_1x_2x_4[x_3, x_5], x_1x_3x_4[x_2, x_5], x_2x_3x_4[x_1, x_5], x_1[x_2, x_3][x_4, x_5],$  $x_1[x_2, x_4][x_3, x_5], x_2[x_1, x_3][x_4, x_5], x_2[x_1, x_4][x_3, x_5], x_3[x_1, x_4][x_2, x_5]$

For  $1 \leq i < j$  we consider  $\mathbb{N}_0^i$  as a subset of  $\mathbb{N}_0^j$  by

$$
(r_1,\ldots,r_i)\to (r_1,\ldots,r_i,\underbrace{0,\ldots,0}_{j-i}).
$$

Assume  $\underline{r} \in \mathbb{N}_0^i$  and  $\underline{s} \in \mathbb{N}_0^j$  $\frac{1}{0}$  for some  $i, j \geq 1$ . Then we write  $\underline{r} < \underline{s}$  for the lexicographical order on  $\mathbb{N}_0^k$ , where  $k = \max\{i, j\}$  and we consider  $\underline{r}$ , <u>s</u> as elements of  $\mathbb{N}_0^k$ .

Definition 5.5. Consider a bracket-monomial

$$
f = x_{t_1} \cdots x_{t_l} [x_{r_1}, x_{s_1}] \cdots [x_{r_k}, x_{s_k}] \in \mathbb{F}\langle X \rangle,
$$
\n
$$
(4)
$$

where  $\underline{t} \in \mathbb{N}^l$ ,  $\underline{r}, \underline{s} \in \mathbb{N}^k$  for some  $l \geq 0$ ,  $k > 0$  and  $r_1 < s_1, \ldots, r_k < s_k$ . Then

(a) the *monomial weight* of f in case  $l > 0$  is  $mw(f) = (t_{\sigma(1)}, \ldots, t_{\sigma(l)})$  for some permutation  $\sigma \in \mathcal{S}_l$  such that

$$
t_{\sigma(1)} \geq \cdots \geq t_{\sigma(l)}
$$

and  $m w(f) = (0)$  in case  $l = 0$ .

(b) the *bracket weight* of f is bw $(f) = (s_{\sigma(1)} - r_{\sigma(1)}, \ldots, s_{\sigma(k)} - r_{\sigma(k)})$  for some permutation  $\sigma \in \mathcal{S}_k$  such that

$$
s_{\sigma(1)} - r_{\sigma(1)} \geq \cdots \geq s_{\sigma(k)} - r_{\sigma(k)}.
$$

**Example 5.6.** (a) We have  $St_3(x_1, x_2, x_3) = f_1 - f_2 + f_3$  for the semi-reduced bracketmonomials

$$
f_1 = x_1[x_2, x_3], f_2 = x_2[x_1, x_3], f_3 = x_3[x_1, x_2].
$$

Then  $mw(f_1) = (1)$ ,  $mw(f_2) = (2)$ ,  $mw(f_3) = (3)$  and  $bw(f_1) = (1)$ ,  $bw(f_2) = (2)$ ,  $bw(f_3) = (1)$ . Note that  $f_1, f_2$  are reduced, but  $f_3$  is not reduced.

(b) We have  $T_4(x_1, \ldots, x_4) = h_1 - h_2 + h_3$  for the reduced bracket-monomials

$$
h_1 = [x_1, x_2][x_3, x_4], \quad h_2 = [x_1, x_3][x_2, x_4], \quad h_3 = [x_2, x_3][x_1, x_4].
$$

Then mw $(h_i) = (0)$  for  $i = 1, 2, 3$  and bw $(h_1) = (1, 1)$ , bw $(h_2) = (2, 2)$ , bw $(h_3) = (3, 1)$ . Note that  $h_1$ ,  $h_2$  are completely reduced, but  $h_3$  is not completely reduced.

<span id="page-7-1"></span>**Lemma 5.7.** Assume that  $f \in \mathbb{F}\langle X \rangle$  is multihomogeneous of multidegree  $\underline{\delta} \in \mathbb{N}_0^m$ . Then *there are semi-reduced bracket-monomials*  $f_i \in \mathbb{F}\langle X \rangle$  *and*  $\alpha_i, \beta \in \mathbb{F}$  *such that* 

$$
f \equiv \beta x_1^{\delta_1} \cdots x_m^{\delta_m} + \sum_i \alpha_i f_i,
$$

*where*  $\text{mdeg}(f_i) = \underline{\delta}$  *for all i. Moreover,* 

- *(a)* if  $f \in \text{Id}(A_1, V)$ *, then*  $\beta = 0$ *;*
- *(b)* if f is a bracket-monomial, then  $\beta = 0$  and  $m w(f_i) \le m w(f)$  for all i.

*Proof.* Assume that  $f_1, f_2 \in \mathbb{F}\langle x_1, \ldots, x_m \rangle$  are multihomogeneous and  $1 \leq i \leq j \leq m$ . Since

$$
f_1x_jx_if_2 = f_1x_ix_jf_2 - f_1[x_i, x_j]f_2 = f_1x_ix_jf_2 - f_1f_2[x_i, x_j] - f_1[[x_i, x_j], f_2],
$$

by Lemma [4.3](#page-5-1) we obtain that

<span id="page-7-0"></span>
$$
f_1 x_j x_i f_2 \equiv f_1 x_i x_j f_2 - f_1 f_2 [x_i, x_j]. \tag{5}
$$

Weak polynomial identities of small degree for the Weyl algebra

Lemma [4.3](#page-5-1) also implies that

<span id="page-8-0"></span>
$$
f_1[x_i, x_j] f_0 f_2 \equiv f_1 f_0[x_i, x_j] f_2 \tag{6}
$$

for every  $f_0 \in \mathbb{F}\langle X \rangle$ . Since equivalences [\(5\)](#page-7-0) and [\(6\)](#page-8-0) preserve the multidegree, applying formulas [\(5\)](#page-7-0) and [\(6\)](#page-8-0) to f we obtain that  $f \equiv \beta x_1^{\delta_1} \cdots x_m^{\delta_m} + \sum_i \alpha_i f_i$  for some semi-reduced bracket-monomials  $f_i$  and  $\beta, \alpha_i \in \mathbb{F}$ , where  $\text{mdeg}(f_i) = \delta$ .

Assume  $f \in \text{Id}(A_1, V)$ . Since  $[x, x] = 0$ , we have  $f_i(x, \ldots, x) = 0$  in  $A_1$  for all i. Therefore,  $0 = f(x, \dots, x) = \beta x^{|\underline{\delta}|}$ . Thus  $\beta = 0$ .

If f is a bracket-monomial, then it is easy to see that  $\beta = 0$  and  $m w(f_i) \leq m w(f)$  for all i. □

<span id="page-8-2"></span>Lemma 5.8. *Consider a semi-reduced bracket-monomial*

$$
f = x_{t_1} \cdots x_{t_l}[x_{r_1}, x_{s_1}] \cdots [x_{r_k}, x_{s_k}] \in \mathbb{F}\langle X \rangle.
$$

*Then*  $f \equiv \sum_i \alpha_i f_i$  for some  $\alpha_i \in \mathbb{F}$ ,  $f_i \in \mathbb{F}\langle X \rangle$  such that  $f_i$  is a reduced bracket-monomial,  $\text{mdeg}(f_i) = \text{mdeg}(f)$ , and  $\text{mw}(f_i) \leq \text{mw}(f)$  for all i.

*Proof.* Since f is semi-reduced, we have  $r_1 < s_1, \ldots, r_k < s_k$ ,  $t_1 \leq \cdots \leq t_l$  and also  $s_1 \leq \cdots \leq s_k$ , where  $l \geq 0$ ,  $k > 0$ .

We prove the lemma by induction on  $mw(f)$ . If  $mw(f) = (0)$ , then  $l = 0$  and f is reduced.

Assume that  $(0) < \text{mw}(f)$  and for every semi-reduced bracket-monomial  $f' \in \mathbb{F}\langle X \rangle$ with  $mw(f') < mw(f)$  the statement of this lemma holds.

Assume that f is not reduced, i.e.,  $l \geq 1$  and  $t_l > s_1$ . Using St<sub>3</sub> from Lemma [4.1,](#page-4-2) we obtain

<span id="page-8-1"></span>
$$
x_{t_l}[x_{r_1}, x_{s_1}] \equiv x_{s_1}[x_{r_1}, x_{t_l}] - x_{r_1}[x_{s_1}, x_{t_l}].
$$
\n(7)

Thus  $f \equiv f_1 - f_2$  for

$$
f_1 = x_{t_1} \cdots x_{t_{l-1}} x_{s_1} [x_{r_1}, x_{t_l}][x_{r_2}, x_{s_2}] \cdots [x_{r_k}, x_{s_k}],
$$
  

$$
f_2 = x_{t_1} \cdots x_{t_{l-1}} x_{r_1} [x_{s_1}, x_{t_l}][x_{r_2}, x_{s_2}] \cdots [x_{r_k}, x_{s_k}].
$$

Note that  $\text{mdeg}(f_1) = \text{mdeg}(f_2) = \text{mdeg}(f)$ . Using part (b) of Lemma [5.7,](#page-7-1) we obtain semireduced bracket-monomials  $g_{1i}, g_{2j} \in \mathbb{F}\langle X \rangle$  and  $\alpha_{1i}, \alpha_{2j} \in \mathbb{F}$  such that  $f_1 \equiv \sum_i \alpha_{1i} g_{1i}$  and  $f_2 \equiv \sum_j \alpha_{2j} g_{2j}$ , where  $\text{mdeg}(g_{1i}) = \text{mdeg}(g_{2j}) = \text{mdeg}(f)$ ,  $\text{mw}(g_{1i}) \le \text{mw}(f_1) < \text{mw}(f)$ and  $\text{mw}(g_{2j}) \leq \text{mw}(f_2) < \text{mw}(f)$ . Applying the induction hypothesis to  $g_{1i}, g_{2j}$  we conclude the proof, since  $f \equiv \sum_i \alpha_{1i} g_{1i} - \sum_i \alpha_{2i} g_{2i}$ .  $\Box$ 

<span id="page-8-3"></span>Lemma 5.9. *Consider a reduced bracket-monomial*

$$
f = x_{t_1} \cdots x_{t_l}[x_{r_1}, x_{s_1}] \cdots [x_{r_k}, x_{s_k}] \in \mathbb{F}\langle X \rangle.
$$

*Then*  $f \equiv \sum_i \alpha_i f_i$  *for some*  $\alpha_i \in \mathbb{F}$ ,  $f_i \in \mathbb{F}\langle X \rangle$  *such that*  $f_i$  *is a completely reduced bracket-monomial,*  $\text{mdeg}(f_i) = \text{mdeg}(f)$ *, and*  $\text{mw}(f_i) \leq \text{mw}(f)$  *for all i.* 

*Proof.* Since f is reduced, we have  $r_1 < s_1, \ldots, r_k < s_k$ ,  $t_1 \leq \cdots \leq t_l \leq s_1 \leq \cdots \leq s_k$ , where  $l \geq 0, k > 0$ .

We prove the lemma by induction on  $mw(f)$ .

**1.** Assume mw( $f$ ) = (0), i.e.,  $f = [x_{r_1}, x_{s_1}] \cdots [x_{r_k}, x_{s_k}]$ . To show that the statement of this lemma holds for f we use induction on bw(f).

Obviously, if  $bw(f) = (1)$ , i.e.,  $f = [x_{r_1}, x_{s_1}]$  with  $s_1 - r_1 = 1$ , then f is completely reduced.

Assume (1) < br/>bw(f) and for every reduced bracket-monomial  $f' \in \mathbb{F}\langle X \rangle$  such that  $mw(f') = (0)$  and  $bw(f') < bw(f)$  the statement of the lemma holds.

Assume that f is not completely reduced, i.e., there are  $1 \leq i \neq j \leq k$  such that  $r_j \langle r_i \rangle \langle s_i \rangle \langle s_j \rangle$ . For short, denote  $a_1 = r_j$ ,  $a_2 = r_i$ ,  $a_3 = s_i$ ,  $a_4 = s_j$ . Note that  $a_1 < a_2 < a_3 < a_4$ . Using equivalence [\(6\)](#page-8-0) we obtain that

$$
[x_{r_1}, x_{s_1}] \cdots [x_{r_k}, x_{s_k}] \equiv [x_{a_2}, x_{a_3}] [x_{a_1}, x_{a_4}] b
$$

for some product  $b = [x_{r'_1}, x_{s'_1}] \cdots [x_{r'_{k-2}}, x_{s'_{k-2}}]$  of brackets. Applying the equivalence  $T_4(x_{a_1}, x_{a_2}, x_{a_3}, x_{a_4}) \equiv 0$ , we obtain

$$
[x_{a_2}, x_{a_3}][x_{a_1}, x_{a_4}] \equiv -[x_{a_1}, x_{a_2}][x_{a_3}, x_{a_4}] + [x_{a_1}, x_{a_3}][x_{a_2}, x_{a_4}].
$$

Thus  $f \equiv -f_1 + f_2$  for

$$
f_1 = [x_{a_1}, x_{a_2}][x_{a_3}, x_{a_4}]b,
$$
  

$$
f_2 = [x_{a_1}, x_{a_3}][x_{a_2}, x_{a_4}]b.
$$

Note that  $\text{mdeg}(f_1) = \text{mdeg}(f_2) = \text{mdeg}(f)$ . Since

bw(
$$
[x_{a_2}, x_{a_3}][x_{a_1}, x_{a_4}]
$$
) = ( $a_4 - a_1, a_3 - a_2$ )

is greater than both bw $([x_{a_1}, x_{a_2}][x_{a_3}, x_{a_4}])$  and bw $([x_{a_1}, x_{a_3}][x_{a_2}, x_{a_4}])$ , we can see that  $bw(f_1) < bw(f)$  and  $bw(f_2) < bw(f)$ .

We use equivalence [\(6\)](#page-8-0) to obtain reduced bracket-monomials  $g_1$  and  $g_2$  such that  $g_1 \equiv f_1$ and  $g_2 \equiv f_2$ , where  $mdeg(g_1) = mdeg(g_2) = mdeg(f)$ ,  $mw(g_1) = mw(g_2) = (0)$ ,

$$
\operatorname{bw}(g_1) = \operatorname{bw}(f_1) < \operatorname{bw}(f) \quad \text{and} \quad \operatorname{bw}(g_2) = \operatorname{bw}(f_2) < \operatorname{bw}(f).
$$

Applying the induction hypothesis to  $g_1$  and  $g_2$ , we can see that the statement of this lemma holds for  $f$ .

2. Assume that  $(0) < \text{mw}(f)$ , that is,  $l \geq 1$ , and for every reduced bracket-monomial  $f' \in \mathbb{F}\langle X \rangle$  with  $mw(f') < mw(f)$  the claim of this lemma holds. To show that the statement of this lemma holds for f we use induction on  $bw(f)$ .

Obviously, if bw(f) = (1), i.e.,  $f = x_{t_1} \cdots x_{t_l} [x_{r_1}, x_{s_1}]$  with  $s_1 - r_1 = 1$ , then f is completely reduced.

Assume (1) < br/>bw(f) and for every reduced bracket-monomial  $f' \in \mathbb{F}\langle X \rangle$  such that  $mw(f') = mw(f)$  and  $bw(f') < bw(f)$  the statement of the lemma holds.

Assume that f is not completely reduced, i.e., there are  $1 \leq i \neq j \leq k$  such that  $r_j \leq r_i \leq s_i \leq s_j$ . For short, denote  $a_1 = r_j$ ,  $a_2 = r_i$ ,  $a_3 = s_i$ ,  $a_4 = s_j$ . Note that  $a_1 < a_2 < a_3 < a_4$  and  $t_1 \le a_3$ . Using equivalence [\(6\)](#page-8-0) we obtain that

$$
[x_{r_1}, x_{s_1}] \cdots [x_{r_k}, x_{s_k}] \equiv [x_{a_2}, x_{a_3}] [x_{a_1}, x_{a_4}] b
$$

for some product  $b = [x_{r'_1}, x_{s'_1}] \cdots [x_{r'_{k-2}}, x_{s'_{k-2}}]$  of brackets, where  $t_l \leq s'_1 \leq \cdots \leq s'_{k-2}$ . Since  $T_4(x_{a_1}, x_{a_2}, x_{a_3}, x_{a_4}) \equiv 0$ , we obtain

$$
[x_{a_2}, x_{a_3}][x_{a_1}, x_{a_4}] \equiv -[x_{a_1}, x_{a_2}][x_{a_3}, x_{a_4}] + [x_{a_1}, x_{a_3}][x_{a_2}, x_{a_4}].
$$

Thus  $f \equiv -f_1 + f_2$  for

$$
f_1 = x_{t_1} \cdots x_{t_l} [x_{a_1}, x_{a_2}] [x_{a_3}, x_{a_4}] b,
$$
  

$$
f_2 = x_{t_1} \cdots x_{t_l} [x_{a_1}, x_{a_3}] [x_{a_2}, x_{a_4}] b.
$$

Note that  $\text{mdeg}(f_1) = \text{mdeg}(f_2) = \text{mdeg}(f)$ . Since

$$
bw([x_{a_2}, x_{a_3}][x_{a_1}, x_{a_4}]) = (a_4 - a_1, a_3 - a_2)
$$

is greater than  $bw([x_{a_1}, x_{a_2}][x_{a_3}, x_{a_4}])$  and  $bw([x_{a_1}, x_{a_3}][x_{a_2}, x_{a_4}])$ , we can obtain the inequalities bw $(f_1)$  < bw $(f)$  and bw $(f_2)$  < bw $(f)$ .

**2.1.** Assume that  $l_t \leq a_2$ . Then  $l_t \leq a_2 < a_3 < a_4$  and  $t_l \leq s_1' \leq \cdots \leq s_{k-2}'$ . We use equivalence [\(6\)](#page-8-0) to obtain reduced bracket-monomials  $g_1$  and  $g_2$  such that  $g_1 \equiv f_1$  and  $g_2 \equiv f_2$ , where  $mdeg(g_1) = mdeg(g_2) = mdeg(f)$ ,  $mw(g_1) = mw(g_2) = mw(f)$ ,

 $bw(q_1) = bw(f_1) < bw(f)$  and  $bw(q_2) = bw(f_2) < bw(f)$ .

Applying induction on bracket weight to  $g_1$  and  $g_2$ , we obtain that the statement of the lemma holds for  $f$ .

**2.2.** Assume  $a_2 < t_l$ . Using equivalence [\(7\)](#page-8-1), we obtain  $f_1 \equiv h_1 - h_2$  for

$$
h_1 = x_{t_1} \cdots x_{t_{l-1}} x_{a_2} [x_{a_1}, x_{t_l}] [x_{a_3}, x_{a_4}] b,
$$
  

$$
h_2 = x_{t_1} \cdots x_{t_{l-1}} x_{a_1} [x_{a_2}, x_{t_l}] [x_{a_3}, x_{a_4}] b.
$$

Since  $t_l > a_1, a_2$ , we have  $mw(h_1) < mw(f)$  and  $mw(h_2) < mw(f)$ . Using part (b) of Lemma [5.7](#page-7-1) and Lemma [5.8,](#page-8-2) we obtain reduced bracket-monomials  $g_{1i'}$ ,  $g_{2j'} \in \mathbb{F}\langle X \rangle$ and scalars  $\alpha_{1i'}$ ,  $\alpha_{2j'} \in \mathbb{F}$  such that  $h_1 \equiv \sum_{i'} \alpha_{1i'} g_{1i'}$  and  $h_2 \equiv \sum_{j'} \alpha_{2j'} g_{2j'}$ , and where  $\mathrm{mdeg}(g_{1i'}) = \mathrm{mdeg}(g_{2j'}) = \mathrm{mdeg}(f),$ 

$$
\text{mw}(g_{1i'}) \le \text{mw}(h_1) < \text{mw}(f) \quad \text{and} \quad \text{mw}(g_{2j'}) \le \text{mw}(h_2) < \text{mw}(f).
$$

We apply induction on monomial weight to  $g_{1i'}$  and  $g_{2j'}$  to show that the statement of the lemma holds for  $f_1$ . We establish that the statement of the lemma holds for  $f_2$  by repeating the proof from part 2.1. Therefore, the statement of the lemma holds for  $f$ .  $\Box$  <span id="page-11-2"></span>**Theorem 5.10.** Assume that  $f \in \mathbb{F}\langle X \rangle$  is multihomogeneous of multidegree  $\underline{\delta} \in \mathbb{N}_0^m$ . Then *there are completely reduced bracket-monomials*  $f_i \in \mathbb{F}\langle X \rangle$  *and*  $\alpha_i, \beta \in \mathbb{F}$  *such that* 

$$
f \equiv \beta x_1^{\delta_1} \cdots x_m^{\delta_m} + \sum_i \alpha_i f_i,
$$

*where*  $\text{mdeg}(f_i) = \delta$  *for all i. Moreover,* 

- *(a)* if  $f \in \text{Id}(A_1, V)$ *, then*  $\beta = 0$ *;*
- *(b)* if f is a bracket-monomial, then  $\beta = 0$  and  $\text{mw}(f_i) \leq \text{mw}(f)$  for all i.

*Proof.* Consequently applying Lemmas [5.7,](#page-7-1) [5.8,](#page-8-2) [5.9](#page-8-3) we obtain the required.

# <span id="page-11-1"></span><span id="page-11-0"></span>6 Minimal weak polynomial identities

Theorem 6.1. *Every weak polynomial identity for the pair* (A1, V) *in two variables lies in the L-ideal*  $\mathcal I$  *generated by*  $St_3$ ,  $\Gamma_3$ ,  $T_4$ *.* 

*Proof.* Assume that  $f \in \mathbb{F}\langle x_1, x_2 \rangle$  is a weak polynomial identity in two variables for the pair  $(A_1, V)$ . By Lemma [3.2,](#page-4-3) we can assume that f is multihomogeneous of multidegree  $(r, s)$ for some  $r, s \geq 0$ . Then Theorem [5.10](#page-11-2) implies that f is equivalent to a linear combination of completely reduced bracket-monomials of multidegree  $(r, s)$ .

Assume that  $r \geq s$ . If  $s = 0$ , then  $f \equiv 0$  by the definition of completely reduced bracket-monomials. Assume  $s > 0$ . Then

$$
f(x_1, x_2) \equiv \sum_{i=1}^{s} \alpha_i x_1^{r-i} x_2^{s-i} [x_1, x_2]^i
$$

for some  $\alpha_i \in \mathbb{F}$ . Since  $0 = f(x, y) = \sum_{i=1}^s (-1)^i \alpha_i x^{r-i} y^{s-i}$  in  $A_1$ , we obtain by part (a) of Proposition [3.1](#page-3-3) that  $\alpha_1 = \cdots = \alpha_s = 0$ , i.e.,  $f \equiv 0$ .

The case of  $r < s$  can be considered similarly. The proof is completed.

<span id="page-11-3"></span>**Lemma 6.2.** *Every weak polynomial identity for the pair*  $(A_1, V)$  *of degree* 3 *lies in the L*-ideal generated by  $\Gamma_3$  and  $St_3$ .

*Proof.* Assume that  $f \in \mathbb{F}\langle X \rangle$  is a weak polynomial identity of degree 3 for the pair  $(A_1, V)$ . By Lemma [3.2,](#page-4-3) we can assume that f is multihomogeneous of multidegree  $\Delta$  with  $|\Delta| = 3$ . By Theorem [5.10,](#page-11-2) f is equivalent to a linear combination of completely reduced bracket-monomials of multidegree  $\Delta$ .

Assume  $\Delta = (1, 1, 1)$ . Then

$$
f(x_1, x_2, x_3) \equiv \alpha x_1[x_2, x_3] + \beta x_2[x_1, x_3],
$$

where  $\alpha, \beta \in \mathbb{F}$ . Since  $0 = f(x, y, y) = -\beta y$  and  $0 = f(x, y, x) = \alpha x$  in A<sub>1</sub>, we obtain  $\alpha = \beta = 0$ . The definition of the ideal  $\mathcal I$  implies the required.

If  $\Delta = (2, 1)$  or  $\Delta = (3)$ , then Theorem [6.1](#page-11-1) concludes the proof.

 $\Box$ 

 $\Box$ 

 $\Box$ 

Theorem 6.3. 1. *The minimal degree of a non-trivial weak polynomial identity for the pair*  $(A_1, V)$  *is three.* 

2. *The vector space*  $\text{Id}(A_1, V)_{\Delta}$  *for*  $|\Delta| = 3$  *has the following basis:* 

- $\Gamma_3(x_1, x_2, x_3)$ ,  $\Gamma_3(x_1, x_3, x_2)$ , and  $\text{St}_3(x_1, x_2, x_3)$ , in case  $\Delta = 1^3$ ;
- $\Gamma_3(x_1, x_2, x_1)$ *, in case*  $\Delta = (2, 1)$ *,*
- $\emptyset$ *, in case*  $\Delta = (3)$ *.*

*Proof.* 1. It follows from Lemmas [4.1](#page-4-2) and [4.2.](#page-5-2)

**2.** Lemma [6.2](#page-11-3) implies that  $\Gamma_3(x_1, x_2, x_1) \neq 0$  is a basis for Id( $A_1, V_{(2,1)}$  and  $\emptyset$  is a basis for  $\mathrm{Id}(A_1, V)_{(2,1)} = \{0\}.$ 

Since  $\Gamma_3(x_1, x_2, x_3)$  and  $St_3(x_1, x_2, x_3)$  are multilinear, Lemma [6.2](#page-11-3) implies that every element  $f \in \mathrm{Id}(A_1, V)_{1^3}$  lies in the F-span of

 $\Gamma_3(x_{\sigma(1)}, x_{\sigma(2)}, x_{\sigma(3)})$  and  $\text{St}_3(x_{\sigma(1)}, x_{\sigma(2)}, x_{\sigma(3)})$ 

for all  $\sigma \in S_3$ . Since  $\Gamma_3(x_2, x_3, x_1) = -\Gamma_3(x_1, x_2, x_3) + \Gamma_3(x_1, x_3, x_2)$ , we obtain that f lies in the F-span of  $\Gamma_3(x_1, x_2, x_3)$ ,  $\Gamma_3(x_1, x_3, x_2)$ , and  $St_3(x_1, x_2, x_3)$ . The linear independence follows from straightforward calculations.  $\Box$ 

# <span id="page-12-1"></span><span id="page-12-0"></span>7 Weak polynomial identities of degrees 4 and 5

**Proposition 7.1.** Any weak polynomial identity for the pair  $(A_1, V)$  of degree 4 lies in the *L*-ideal **I** generated by  $\Gamma_3$ , St<sub>3</sub>, and  $T_4$ .

*Proof.* Assume that  $f \in \mathbb{F}\langle X \rangle$  is a weak polynomial identity of degree 4 for the pair  $(A_1, V)$ . By Lemma [3.2,](#page-4-3) we can assume that f is multihomogeneous of multidegree  $\Delta$  with  $|\Delta| = 4$ . By Theorem [5.10,](#page-11-2) f is equivalent to a linear combination of completely reduced bracket-monomials of multidegree  $\Delta$ .

Assume  $\Delta = 1^4$ . Using Example [5.4](#page-6-1) we can see that

$$
f(x_1,...,x_4) \equiv \alpha_1 x_1 x_2 [x_3, x_4] + \alpha_2 x_1 x_3 [x_2, x_4] + \alpha_3 x_2 x_3 [x_1, x_4] + \beta_1 [x_1, x_2][x_3, x_4] + \beta_2 [x_1, x_3][x_2, x_4],
$$

where  $\alpha_i, \beta_j \in \mathbb{F}$ . Since we have  $0 = f(x, x, y, x) = \alpha_1 x^2$ ,  $0 = f(x, y, x, x) = -\alpha_2 x^2$ , and  $0 = f(y, x, x, x) = -\alpha_3 x^2$ , we thus obtain  $\alpha_1 = \alpha_2 = \alpha_3 = 0$ . Then equalities  $0 = f(x, y, x, y) = \beta_1$  and  $0 = f(x, x, y, y) = \beta_2$  imply that  $f = 0$ .

Assume  $\Delta = (2, 1, 1)$ . Then

$$
f(x_1, x_2, x_3) \equiv \alpha_1 \, x_1^2 [x_2, x_3] + \alpha_2 \, x_1 x_2 [x_1, x_3] + \alpha_3 \, [x_1, x_2] [x_1, x_3],
$$

where  $\alpha_1, \alpha_2, \alpha_3 \in \mathbb{F}$ . We have  $\alpha_1 = 0$ , since  $0 = f(x, y, x) = \alpha_1 x^2$ . Thus, the equality  $0 = f(x, x, y) = -\alpha_2 x^2$  implies  $\alpha_2 = 0$ . Finally, since  $0 = f(y, x, x) = \alpha_3$ , we obtain that  $f=0.$ 

If  $\Delta$  belongs to the list  $\{(3, 1), (2, 2), (4)\}$ , then Theorem [6.1](#page-11-1) concludes the proof.  $\Box$ 

<span id="page-13-0"></span>**Proposition 7.2.** Any weak polynomial identity for the pair  $(A_1, V)$  of degree 5 lies in the *L*-ideal **I** generated by  $\Gamma_3$ , St<sub>3</sub>, and  $T_4$ .

*Proof.* Assume that  $f \in \mathbb{F}\langle X \rangle$  is a weak polynomial identity of degree 5 for the pair  $(A_1, V)$ . By Lemma [3.2,](#page-4-3) we can assume that f is multihomogeneous of multidegree  $\Delta$  with  $|\Delta| = 5$ . By Theorem [5.10,](#page-11-2) f is equivalent to a linear combination of completely reduced bracket-monomials of multidegree  $\Delta$ .

Assume  $\Delta = 1^5$ . Using Example [5.4](#page-6-1) we can see that  $f(x_1, \ldots, x_5)$  is equivalent to

$$
\alpha_1 x_1 x_2 x_3 [x_4, x_5] + \alpha_2 x_1 x_2 x_4 [x_3, x_5] + \alpha_3 x_1 x_3 x_4 [x_2, x_5] + \alpha_4 x_2 x_3 x_4 [x_1, x_5] + \beta_1 x_1 [x_2, x_3] [x_4, x_5] + \beta_2 x_1 [x_2, x_4] [x_3, x_5] + \beta_3 x_2 [x_1, x_3] [x_4, x_5] + \beta_4 x_2 [x_1, x_4] [x_3, x_5] + \beta_5 x_3 [x_1, x_4] [x_2, x_5]
$$

for some  $\alpha_i, \beta_j \in \mathbb{F}$ . Considering

$$
f(x, x, x, y, x) = f(x, x, y, x, x) = f(x, y, x, x, x) = f(y, x, x, x, x) = 0,
$$

we obtain  $\alpha_1 = \alpha_2 = \alpha_3 = \alpha_4 = 0$ . Equalities

$$
f(y, y, x, x, x) = f(y, x, y, x, x) = f(x, y, y, x, x) = f(y, x, x, y, x) = 0
$$

imply that  $\beta_5 = \beta_4 = \beta_2 = \beta_3 = 0$ . Finally,  $0 = f(x, y, x, y, x) = \beta_1 x$  implies  $\beta_1 = 0$ , i.e.,  $f=0.$ 

Assume  $\Delta = (3, 1, 1)$ . Then

$$
f(x_1, x_2, x_3) \equiv \alpha_1 x_1^3 [x_2, x_3] + \alpha_2 x_1^2 x_2 [x_1, x_3] + \alpha_3 x_1 [x_1, x_2] [x_1, x_3]
$$

for some  $\alpha_i \in \mathbb{F}$ . We have  $\alpha_1 = 0$ , since  $0 = f(x, y, x) = \alpha_1 x^3$ . Thus, the equality  $0 = f(x, x, y) = -\alpha_2 x^3$  implies  $\alpha_2 = 0$ . Finally, since  $0 = f(y, x, x) = \alpha_3 y$ , we obtain that  $f=0.$ 

Assume  $\Delta = (2, 2, 1)$ . Then  $f(x_1, x_2, x_3)$  is equivalent to

$$
\alpha_1 x_1^2 x_2 [x_2, x_3] + \alpha_2 x_1 x_2^2 [x_1, x_3] + \alpha_3 x_1 [x_1, x_2] [x_2, x_3] + \alpha_4 x_2 [x_1, x_2] [x_1, x_3]
$$

for some  $\alpha_i \in \mathbb{F}$ . We have  $\alpha_1 = \alpha_3 = 0$ , since  $0 = f(x, y, x) = \alpha_1 x^2 y - \alpha_3 x$ . Thus, the equality  $0 = f(x, x, y) = -\alpha_2 x^3$  implies  $\alpha_2 = 0$ . Finally, since  $0 = f(y, x, x) = \alpha_4 x$ , we obtain that  $f = 0$ .

Assume  $\Delta = (2, 1, 1, 1)$ . Then  $f(x_1, x_2, x_3, x_4)$  is equivalent to

$$
\alpha_1 x_1^2 x_2 [x_3, x_4] + \alpha_2 x_1^2 x_3 [x_2, x_4] + \alpha_3 x_1 x_2 x_3 [x_1, x_4]
$$

$$
+ \beta_1 x_1 [x_1, x_2] [x_3, x_4] + \beta_2 x_1 [x_1, x_3] [x_2, x_4] + \beta_3 x_2 [x_1, x_3] [x_1, x_4]
$$

for some  $\alpha_i, \beta_i \in \mathbb{F}$ . Since  $0 = f(x, x, y, x) = \alpha_1 x^3$  and  $0 = f(x, y, x, x) = \alpha_2 x^3$ , we have  $\alpha_1 = \alpha_2 = 0$ . Thus, the equality  $0 = f(x, x, x, y) = -\alpha_3 x^3$  implies  $\alpha_3 = 0$ . Considering  $0 = f(y, x, x, x) = \beta_3 x$ , we obtain  $\beta_3 = 0$ . Finally, equalities  $0 = f(y, y, x, x) = \beta_2 y$  and  $0 = f(y, x, y, x) = \beta_1 y$  imply that  $\beta_1 = \beta_2 = 0$ , i.e.,  $f = 0$ .

If  $\Delta$  belongs to the list  $\{(4, 1), (3, 2), (5)\}$ , then Theorem [6.1](#page-11-1) concludes the proof.  $\Box$ 

# Acknowledgements

The first author was supported by FAPESP 2018/23690-6.

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Received: February 23, 2024 Accepted for publication: February 26, 2024 Communicated by: Ivan Kaygorodov